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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

11 CHARLES BEELER and REX VENTURES,  
LLC.

Case No.: C 08-03739-PJH

## **STIPULATED PROTECTIVE ORDER**

**Plaintiffs,**

vs.

BANK OF AMERICA, N.A.

#### **Defendants.**

## 1. PURPOSES AND LIMITATIONS

Disclosure and discovery activity in this action are likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation would be warranted

Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords extends only to the limited information or items that are entitled under the applicable legal principles to treatment as confidential. The parties further acknowledge, as set forth in Section 10, below, that this Stipulated Protective Order creates no entitlement to file confidential information under seal; Local Rule 79-5

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1 sets forth the procedures that must be followed and reflects the standards that will be applied when a  
2 party seeks permission from the court to file material under seal.

3 2. DEFINITIONS

4 2.1. Party: Any party to this action, including all of its officers, directors, employees,  
5 consultants, retained experts, and outside counsel (and their support staff).

6 2.2. Disclosure or Discovery Material: All items or information, regardless of the  
7 medium or manner generated, stored, or maintained (including, among other things, testimony,  
8 transcripts, or tangible things) that are produced or generated in disclosures or responses to  
9 discovery in this matter.

10 2.3. “Confidential” Information or Items: Information (regardless of how generated,  
11 stored or maintained) or tangible things that qualify for protection under standards developed under  
12 F.R.Civ.P. 26(c).

13 2.4. “Highly Confidential-Attorneys’ Eyes Only” Information or Items: Extremely  
14 sensitive “Confidential Information or Items” whose disclosure to another Party or nonparty would  
15 create a substantial risk of serious injury that could not be avoided by less restrictive means.

16 2.5. Receiving Party: A Party that receives Disclosure or Discovery Material from a  
17 Producing Party.

18 2.6. Producing Party: A Party or non party that produces Disclosure or Discovery  
19 Material in this action.

20 2.7. Designating Party: A Party or non party that designates information or items that it  
21 produces in disclosures or in responses to discovery as “Confidential” or “Highly Confidential  
22 Attorneys’ Eyes Only.”

23 2.8. Protected Material: Any Disclosure or Discovery Material that is designated as  
24 “Confidential” or as “Highly Confidential - Attorneys’ Eyes Only.”

25 2.9. Outside Counsel: Attorneys who are not employees of a Party but who are retained  
26 to represent or advise a Party in this action.

27 2.10. House Counsel: Attorneys who are employees of a Party.

1           2.11. Counsel (without qualifier): Outside Counsel and House Counsel (as well as their  
2 support staffs).

3           2.12. Expert: A person with specialized knowledge or experience in a matter pertinent to  
4 the litigation who has been retained by a Party or its counsel to serve as an expert witness or as a  
5 consultant in this action and who is not a past or a current employee of a Party or of a competitor of  
6 a Party's and who, at the time of retention, is not anticipated to become an employee of a Party or a  
7 competitor of a Party's. This definition includes a professional jury or trial consultant retained in  
8 connection with this litigation.

9           2.13. Professional Vendors: Persons or entities that provide litigation support services  
10 (e.g., photocopying; videotaping; translating; preparing exhibits or demonstrations; organizing,  
11 storing, retrieving data in any form or medium; etc.) and their employees and subcontractors.

12         3. SCOPE

13           The protections conferred by this Stipulation and Order cover not only Protected Material  
14 (as defined above), but also any information copied or extracted therefrom, as well as all copies,  
15 excerpts, summaries, or compilations thereof, plus testimony, conversations, or presentations by  
16 parties or counsel to or in court or in other settings that might reveal Protected Material.

17         4. DURATION

18           Even after the termination of this litigation, the confidentiality obligations imposed by this  
19 Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order  
20 otherwise directs.

21         5. DESIGNATING PROTECTED MATERIAL

22           5.1. Exercise of Restraint and Care in Designating Material for Protection. Each Party or  
23 non party that designates information or items for protection under this Order must take care to limit  
24 any such designation to specific material that qualifies under the appropriate standards. A  
25 Designating Party must take care to designate for protection only those parts of material, documents,  
26 items, or oral or written communications that qualify—so that other portions of the material,  
27 documents, items, or communications for which protection is not warranted are not swept  
28 unjustifiably within the ambit of this Order.

1 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown  
2 to be clearly unjustified, or that have been made for an improper purpose (e.g., to unnecessarily  
3 encumber or retard the case development process, or to impose unnecessary expenses and burdens on  
4 other parties), expose the Designating Party to sanctions.

5 If it comes to a Party's or a non-party's attention that information or items that it designated  
6 for protection do not qualify for protection at all, or do not qualify for the level of protection  
7 initially asserted, that Party or non-party must promptly notify all other parties that it is  
8 withdrawing the mistaken designation.

9 5.2. Manner and Timing of Designations. Except as otherwise provided in this Order  
10 (see, e.g., second paragraph of section 5.2(a), below), or as otherwise stipulated or ordered,  
11 material that qualifies for protection under this Order must be clearly so designated before the  
12 material is disclosed or produced.

13 Designation in conformity with this Order requires:

14 (a) for information in documentary form (apart from transcripts of depositions  
15 or other pretrial or trial proceedings), that the Producing Party affix the legend "CONFIDENTIAL"  
16 or "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY" on each page that contains  
17 protected material. If only a portion or portions of the material on a page qualifies for protection,  
18 the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate  
19 markings in the margins) and must specify, for each portion, the level of protection being asserted  
20 (either "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY").

21 A Party or non-party that makes original documents or materials available for  
22 inspection need not designate them for protection until after the inspecting Party has indicated  
23 which material it would like copied and produced. During the inspection and before the  
24 designation, all of the material made available for inspection shall be deemed "HIGHLY  
25 CONFIDENTIAL - ATTORNEYS' EYES ONLY." After the inspecting Party has identified the  
26 documents it wants copied and produced, the Producing Party must determine which documents, or  
27 portions thereof, qualify for protection under this Order, then, before producing the specified docu-  
28 ments, the Producing Party must affix the appropriate legend ("CONFIDENTIAL" or "HIGHLY

1 CONFIDENTIAL - ATTORNEYS' EYES ONLY") on each page that contains Protected Material.  
 2 If only a portion or portions of the material on a page qualifies for protection, the Producing Party  
 3 also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the  
 4 margins) and must specify, for each portion, the level of protection being asserted (either  
 5 "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY").

6 (b) for testimony given in deposition or in other pretrial or trial proceedings, that  
 7 the Party or non-party offering or sponsoring the testimony identify on the record, before the close  
 8 of the deposition, hearing, or other proceeding, all protected testimony, and further specify any  
 9 portions of the testimony that qualify as "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES  
 10 ONLY." When it is impractical to identify separately each portion of testimony that is entitled to  
 11 protection, and when it appears that substantial portions of the testimony may qualify for  
 12 protection, the Party or non-party that sponsors, offers, or gives the testimony may invoke on the  
 13 record (before the deposition or proceeding is concluded) a right to have up to 20 days to identify  
 14 the specific portions of the testimony as to which protection is sought and to specify the level of  
 15 protection being asserted ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS'  
 16 EYES ONLY"). Only those portions of the testimony that are appropriately designated for  
 17 protection within the 20 days shall be covered by the provisions of this Stipulated Protective Order.

18 Transcript pages containing Protected Material must be separately bound by the  
 19 court reporter, who must affix to the top of each such page the legend "CONFIDENTIAL" or  
 20 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," as instructed by the Party or .  
 21 nonparty offering or sponsoring the witness or presenting the testimony.

22 (c) for information produced in some form other than documentary, and for any  
 23 other tangible items, that the Producing Party affix in a prominent place on the exterior of the  
 24 container or containers in which the information or item is stored the legend "CONFIDENTIAL" or  
 25 "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY." If only portions of the information  
 26 or item warrant protection, the Producing Party, to the extent practicable, shall identify the protected  
 27 portions, specifying whether they qualify as "Confidential" or as "Highly Confidential - Attorneys'  
 28 Eyes Only".

1       5.3. Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to  
 2 designate qualified information or items as “Confidential” or “Highly Confidential - Attorneys’  
 3 Eyes Only” does not, standing alone, waive the Designating Party’s right to secure protection under  
 4 this Order for such material. If material is appropriately designated as “Confidential” or “Highly  
 5 Confidential - Attorneys’ Eyes Only” after the material was initially produced, the Receiving Party,  
 6 on timely notification of the designation, must make reasonable efforts to assure that the material is  
 7 treated in accordance with the provisions of this Order.

8       6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

9       6.1. Timing of Challenges. Unless a prompt challenge to a Designating Party’s  
 10 confidentiality designation is necessary to avoid foreseeable substantial unfairness, unnecessary  
 11 economic burdens, or a later significant disruption or delay of the litigation, a Party does not waive  
 12 its right to challenge a confidentiality designation by electing not to mount a challenge promptly  
 13 after the original designation is disclosed.

14       6.2. Meet and Confer. A Party that elects to initiate a challenge to a Designating Party’s  
 15 confidentiality designation must do so in good faith and must begin the process by conferring  
 16 directly (in voice to voice dialogue; other forms of communication are not sufficient) with counsel  
 17 for the Designating Party. In conferring, the challenging Party must explain the basis for its belief  
 18 that the confidentiality designation was not proper and must give the Designating Party an  
 19 opportunity to review the designated material, to reconsider the circumstances, and, if no change in  
 20 designation is offered, to explain the basis for the chosen designation. A challenging Party may  
 21 proceed to the next stage of the challenge process only if it has engaged in this meet and confer  
 22 process first.

23       6.3. Judicial Intervention. A Party that elects to press a challenge to a confidentiality  
 24 designation after considering the justification offered by the Designating Party may file and serve a  
 25 motion under Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) that  
 26 identifies the challenged material and sets forth in detail the basis for the challenge. Each such  
 27 motion must be accompanied by a competent declaration that affirms that the movant has complied  
 28 with the meet and confer requirements imposed in the preceding paragraph and that sets forth with

1 specificity the justification for the confidentiality designation that was given by the Designating  
2 Party in the meet and confer dialogue.

3 The burden of persuasion in any such challenge proceeding shall be on the  
4 Designating Party. Until the court rules on the challenge, all parties shall continue to afford the  
5 material in question the level of protection to which it is entitled under the Producing Party's  
6 designation.

7 **7. ACCESS TO AND USE OF PROTECTED MATERIAL**

8     7.1. **Basic Principles.** A Receiving Party may use Protected Material that is disclosed or  
9 produced by another Party or by a non-party in connection with this case only for prosecuting,  
10 defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to  
11 the categories of persons and under the conditions described in this Order. When the litigation has  
12 been terminated, a Receiving Party must comply with the provisions of section 11, below (FINAL  
13 DISPOSITION).

14                 Protected Material must be stored and maintained by a Receiving Party at a location  
15 and in a secure manner that ensures that access is limited to the persons authorized under this  
16 Order.

17     7.2. **Disclosure of "CONFIDENTIAL" Information or Items.** Unless otherwise ordered  
18 by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any  
19 information or item designated CONFIDENTIAL only to:

20                 (a) the Receiving Party's Outside Counsel of record in this action, as well as  
21 employees of said Counsel to whom it is reasonably necessary to disclose the information for this  
22 litigation;

23                 (b) the Receiving Party and officers, directors, and employees (including House  
24 Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this litigation;

25                 (c) Experts (as defined in this Order) of the Receiving Party to whom disclosure  
26 is reasonably necessary for this litigation and who have signed the "Agreement to Be Bound by  
27 Protective Order" (Exhibit A);

28                 10597/0086/713136.1 (d) the Court and its personnel, and court reporters and their staffs;

1                         (e) professional vendors to whom disclosure is reasonably necessary for this  
2 litigation and who have signed the "Agreement to Be Bound by Protective Order" (Exhibit A);

3                         (f) during their depositions, witnesses in the action to whom disclosure is  
4 reasonably necessary and who have signed the "Agreement to Be Bound by Protective Order"  
5 (Exhibit A). Pages of transcribed deposition testimony or exhibits to depositions that reveal  
6 Protected Material must be separately bound by the court reporter and may not be disclosed to  
7 anyone except as permitted under this Stipulated Protective Order;

- 8                         (g) the author of the document or the original source of the information;  
9                         (h) officers, directors, and employees of the Designating Party; and  
10                         (i) mediators and other ADR neutrals in this case.

11                         7.3. Disclosure of "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY"

12                         Information or Items. Unless otherwise ordered by the court or permitted in writing by the  
13 Designating Party, a Receiving Party may disclose any information or item designated "HIGHLY  
14 CONFIDENTIAL - ATTORNEYS' EYES ONLY" only to:

15                         (a) the Receiving Party's Outside Counsel, as well as employees of said Counsel  
16 to whom it is reasonably necessary to disclose the information for this litigation;

17                         (b) House Counsel of a Receiving Party to whom disclosure is reasonably  
18 necessary for this litigation and who have signed the "Agreement to Be Bound by Protective  
19 Order" (Exhibit A);

20                         (c) Experts (as defined in this Order) to whom disclosure is reasonably  
21 necessary for this litigation, and who have signed the "Agreement to Be Bound by Protective  
22 Order" (Exhibit A);

23                         (d) the Court and its personnel, and court reporters and their staffs;

24                         (e) professional vendors to whom disclosure is reasonably necessary for this  
25 litigation and who have signed the "Agreement to Be Bound by Protective Order" (Exhibit A);

26                         (f) the author of the document or the original source of the information; and

27                         (g) officers, directors, and employees of the Designating Party; and  
28 10597/0086/713136.1 (h) mediators and other ADR neutrals in this case.

1       8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER  
 2       LITIGATION.

3       If a Receiving Party is served with a subpoena or an order issued in other litigation that  
 4       would compel disclosure of any information or items designated in this action as  
 5       “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL - ATTORNEYS’ EYES ONLY,” the  
 6       Receiving Party must so notify the Designating Party, in writing (by fax, if possible) immediately  
 7       and in no event more than seven court days after receiving the subpoena or order. Such  
 8       notification must include a copy of the subpoena or court order.

9       The Receiving Party also must inform in writing, within a reasonable period of time, the  
 10      Party who caused the subpoena or order to issue in the other litigation that some or all the material  
 11      covered by the subpoena or order is the subject of this Protective Order. In addition, the Receiving  
 12      Party must deliver a copy of this Stipulated Protective Order promptly to the Party in the other  
 13      action that caused the subpoena or order to issue.

14      The purpose of imposing these duties is to alert the interested parties to the existence of this  
 15      Protective Order and to afford the Designating Party in this case an opportunity to try to protect its  
 16      confidentiality interests in the court from which the subpoena or order issued. The Designating  
 17      Party shall bear the burdens and the expenses of seeking protection in that court of its confidential  
 18      material – and nothing in these provisions should be construed as authorizing or encouraging a  
 19      Receiving Party in this action to disobey a lawful directive from another court.

20      9. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

21      If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected  
 22      Material to any person or in any circumstance not authorized under this Stipulated Protective Order,  
 23      the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized  
 24      disclosures, (b) use its best efforts to retrieve all copies of the Protected Material, (c) inform the  
 25      person or persons to whom unauthorized disclosures were made of all the terms of this Order, and  
 26      (d) request such person or persons to execute the “Acknowledgment and Agreement to Be Bound”  
 27      that is attached hereto as Exhibit A.

28      10997/00847313 FILED PROTECTED MATERIAL

Without written permission from the Designating Party or a court order secured after appropriate notice to all interested persons, a Party may not file in the public record in this action any Protected Material. A Party that seeks to file under seal any Protected Material must comply with Local Rule 79-5.

11. FINAL DISPOSITION.

Unless otherwise ordered or agreed in writing by the Producing Party, within sixty days after the final termination of this action, each Receiving Party must return all Protected Material to the Producing Party. As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries or any other form of reproducing or capturing any of the Protected Material. With permission in writing from the Designating Party, the Receiving Party may destroy some or all of the Protected Material instead of returning it. Whether the Protected Material is returned or destroyed, the Receiving Party must submit a written certification to the Producing Party (and, if not the same person or entity, to the Designating Party) by the sixty-day deadline that identifies (by category, where appropriate) all the Protected Material that was returned or destroyed and that affirms that the Receiving Party has not retained any copies, abstracts, compilations, summaries or other forms of reproducing or capturing any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings, motion papers, transcripts, legal memoranda, correspondence or attorney work product, even if such materials contain Protected Material. Any such archival copies that contain or constitute Protected Material remain subject to this Protective Order as set forth in Section 4 (DURATION), above.

12. MISCELLANEOUS

12.1. Right to Further Relief. Nothing in this Order abridges the right of any person to seek its modification by the Court in the future.

12.2. Right to Assert Other Objections. By stipulating to the entry of this Protective Order no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the material covered by this Protective Order.

1  
2 **IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.**  
3

4 Dated: February 12, 2009

LEVY, RAM & OLSON LLP

5  
6 By: /s/ Arthur D. Levy  
Arthur D. Levy

7 Attorneys for Plaintiffs  
8 CHARLES BEELER and REX VENTURES LLC  
9

10 DATED: February 12, 2009

SEVERSON & WERSON  
A Professional Corporation

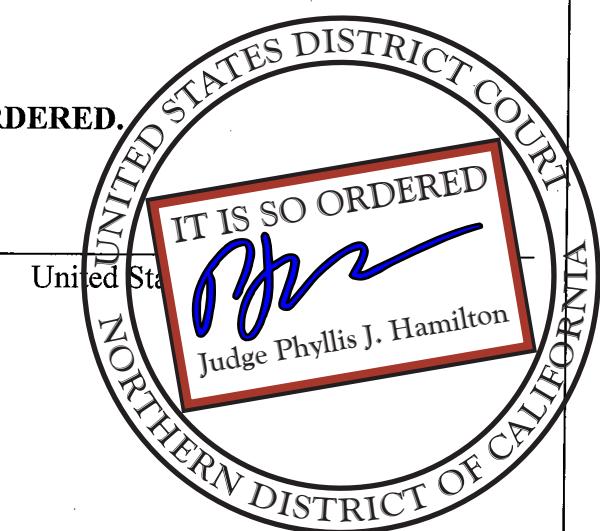
11  
12 By: /s/ Mark I. Wright  
Mark I. Wright

13 Attorneys for Defendant  
14 BANK OF AMERICA, N.A.  
15

16  
17 I hereby attest that the content of this document is acceptable to all persons required to sign  
18 this document.  
19

20  
21 **PURSUANT TO STIPULATION, IT IS SO ORDERED.**  
22

23 Dated: 2/12/09



24  
25  
26  
27  
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1                   ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND  
2

3                   I, \_\_\_\_\_ [print or type full name], of  
4                   \_\_\_\_\_ [print or type full address], declare under  
5                   penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order  
6                   that was issued by the United States District Court for the Central District of California on  
7                   \_\_\_\_\_, 2009, in the case of *CHARLES BEELER and REX VENTURES, LLC, vs.*  
8                   *BANK OF AMERICA, N.A.*, Case No. CV 08-03739-PJH. I agree to comply with and to be bound  
9                   by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure  
10                  to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly  
11                  promise that I will not disclose in any manner any information or item that is subject to this  
12                  Stipulated Protective Order to any person or entity except in strict compliance with the provisions  
13                  of this Order.

14                  Date: \_\_\_\_\_

15                  City and State where signed: \_\_\_\_\_

16                  Printed Name: \_\_\_\_\_

17                  Signature: \_\_\_\_\_